

**Windsor Brokers Limited ('the Company')- Know Your Client (K.Y.C)**

**For Individual Clients Only**

Please fill this form in English and in Capital Letters (All information in Sections A, B, C and D below is obligatory). This information is required pursuant of the provisions of the Prevention of Money Laundering Act 2007.

Account Name: .....

A/C No: .....

**A. Identity and Personal Details**

1. Name of client (as appearing in supporting documentation):

Title: Mr.  Mrs.  Miss

.....

2. Fathers name: .....

3. Date of Birth: ..... / ..... / .....

4. Nationality:.....

5. Marital Status: .....

6. Profession:.....

7. Education:.....

8. Employment Details: .....

9. Annual Income Details:.....

**B. Client Profile**

Please tick the appropriate box:

Annual Income: Less than \$100,000

  

\$100,000 to \$250,000

  

More than \$250,000

  

Net Worth: Less than \$100,000

  

\$100,000 to \$250,000

  

More than \$250,000

  

**C. Knowledge and Experience**

Please tick the appropriate box:

Foreign Exchange: Less than 1 year

  
  
  
  
  

1 to 3 years

  
  
  
  
  

More

  
  
  
  
  

Metals: Less than 1 year

Bonds & Shares: Less than 1 year

Stock Indices: Less than 1 year

Commodities: Less than 1 year

Futures & Options: Less than 1 year

1 to 3 years

1 to 3 years

1 to 3 years

1 to 3 years

1 to 3 years

More

More

More

More

More

Monthly Traded Volume:..... Lots

Frequency of Transactions:..... Per Month

**D. General Information**

1 How did you learn about Windsor? .....

2 Name of broker or firms that you have currently or previously traded with?.....

3 Any other related information: .....

**For internal use only:**

Completed by: .....

Signature:.....

Date: .....